



MINAMATA  
CONVENTION  
ON MERCURY

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**Conference of the Parties to the  
Minamata Convention on Mercury  
Fifth meeting**

Geneva, 30 October–3 November 2023  
Item 4 (k) of the provisional agenda\*

**Matters for consideration or action by the  
Conference of the Parties: effectiveness evaluation**

## **List of available documents and reports for the first effectiveness evaluation (article 22)**

### **Note by the secretariat**

1. Paragraph 3 of article 22 of the Minamata Convention on Mercury defines the categories of information on the basis of which the effectiveness evaluation shall be conducted. These include (a) reports and other monitoring information provided to the Conference of the Parties pursuant to paragraph 2 of article 22; (b) reports submitted pursuant to article 21; (c) information and recommendations provided pursuant to article 15; and (d) reports and other relevant information on the operation of the financial assistance, technology transfer and capacity-building arrangements put in place under the Convention.

2. As is specified in the framework adopted for the first effectiveness evaluation,<sup>1</sup> a number of analyses, reports and summaries are being developed to support the evaluation, including the article 21 synthesis report; the report on trade, supply and demand, including mercury waste flows and stocks; and the outcomes of the work of the Open-ended Scientific Group. The annex to the present note sets out a non-exhaustive list of documents and reports prepared or under preparation for the fifth meeting of the Conference of the Parties, which may be of use in the context of the first effectiveness evaluation. The documents in the list are presented in accordance with the categories of information identified in paragraph 3 of article 22. The list also includes document UNEP/MC/COP.5/16/Add.1, which sets out the draft list of indicators for the first effectiveness evaluation. The annex has not been formally edited.

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\* UNEP/MC/COP.5/1.

<sup>1</sup> Decision MC-4/11, annex I.

## Annex\*

Table 1

**A non-exhaustive list of documents and reports of possible use for the first effectiveness evaluation that are available by the fifth meeting of the Conference of the Parties**

<i>Document reference</i>	<i>Name of the report</i>
<b>1 Indicators for the first effectiveness evaluation</b>	
UNEP/MC/COP.5/16/Add.1	The first effectiveness evaluation of the Minamata Convention on Mercury (Article 22): Indicators <sup>1</sup>
<b>2 Reports and other monitoring information provided to the COP pursuant to paragraph 2 Article 22</b>	
UNEP/MC/COP.5/16/Add.2	Progress report of the Open-ended Scientific Group
UNEP/MC/COP.5/INF/24	Draft plans developed by the Open-ended Scientific Group to support the first effectiveness evaluation the Minamata Convention
UNEP/MC/COP.5/INF/37	Update from the Open-ended Scientific Group on its work during the intersessional period
UNEP/MC/COP.5/INF/22	Draft report on trade, supply and demand of mercury
<b>3 Reports submitted pursuant to Article 21</b>	
UNEP/MC/COP.5/INF/20	Secretariat's report on the first full national reports under Article 21
UNEP/MC/COP.5/INF/21	Summary tables of the responses received for the first full reporting period (16 August 2017 to 31 December 2020)
UNEP/MC/COP.5/INF/23	Article 21 synthesis report
<b>4 Information and recommendations provided pursuant to Article 15</b>	
UNEP/MC/COP.5/14	Report on the work of the Implementation and Compliance Committee of the Minamata Convention on Mercury to COP-5
<b>5 Reports and other relevant information on the operation of the financial assistance, technology transfer and capacity building arrangements</b>	
<b>5.1 Global Environment Facility</b>	
UNEP/MC/COP.5/10	Update on matters related to the Global Environment Facility (Article 13)
UNEP/MC/COP.5/INF/14	Report of the Council of the Global Environment Facility to the Conference of the Parties to the Minamata Convention on Mercury at its fifth meeting
UNEP/MC/COP.5/INF/17	Report of the Second Review of the Financial Mechanism covering the period of August 2019 to July 2022
UNEP/MC/COP.5/INF/7	Lessons learned from Technology Transfer Activities in PlanetGOLD phase 1 projects
Link to the document: <a href="https://www.thegef.org/sites/default/files/2023-01/GEF-8_Programming_Directions.pdf">https://www.thegef.org/sites/default/files/2023-01/GEF-8_Programming_Directions.pdf</a>	GEF-8 Programming Directions
Link to the document: <a href="https://www.thegef.org/sites/default/files/2023-01/GEF-8_PD_Annex2_Resource_Allocation.pdf">https://www.thegef.org/sites/default/files/2023-01/GEF-8_PD_Annex2_Resource_Allocation.pdf</a>	GEF-8 Resource Allocation Table
Link to the document: <a href="https://www.thegef.org/sites/default/files/2023-01/GEF-8_PD_Annex3_GEBs.pdf">https://www.thegef.org/sites/default/files/2023-01/GEF-8_PD_Annex3_GEBs.pdf</a>	GEF-8 Global Environmental Benefits Targets

\* The annex has not been formally edited.

<sup>1</sup> Without prejudice to the final agreement of the Conference of the Parties.

<i>Document reference</i>	<i>Name of the report</i>
<b>5.2 <i>Specific International Programme</i></b>	
UNEP/MC/COP.5/11	Overall report on the Specific International Programme to Support Capacity-Building and Technical Assistance (Article 13)
UNEP/MC/COP.5/11/Add.1	Governing Board of the Specific International Programme, including the Executive Summary of the Mid-Term Evaluation of the Programme
UNEP/MC/COP.5/INF/16	Mid-term Evaluation of the Specific International Programme to Support Capacity-Building and Technical Assistance
<a href="https://minamataconvention.org/en/implementation/specific-international-programme/first-round">https://minamataconvention.org/en/implementation/specific-international-programme/first-round</a>	Terminal evaluations and reviews of completed projects approved in the First Round of applications to the Specific International Programme
Link to the reports: <a href="https://minamataconvention.org/en/implementation/specific-international-programme/board">https://minamataconvention.org/en/implementation/specific-international-programme/board</a>	Meeting reports of the SIP Governing Board
<b>5.3 <i>Review of the financial mechanism</i></b>	
UNEP/MC/COP.5/12	Review of the financial mechanism, including the Executive Summary of the Report of the Second Review of the Financial Mechanism covering the period of August 2019 to July 2022 (Article 13)
<b>5.4 <i>Other relevant reports and information on capacity-building and technical assistance</i></b>	
UNEP/MC/COP.5/INF/18	Report by Special Programme to support institutional strengthening at the national level for implementation of the Basel, Rotterdam and Stockholm conventions, the Minamata Convention and the Strategic Approach to International Chemicals Management
UNEP/MC/COP.5/INF/32	Report by the Global Mercury Partnership